AGRICULTURAL PROCESSOR'S LIEN/NOTICE ASL-1

NORTH DAKOTA SECRETARY OF STATE/COUNTY RECORDERS

SFN 17900 (7-2011)

For reference, see North Dakota Century Code, Chapter 35-30.
Type or print legibly. Carefully follow instructions on Page 2.

1a. Contact Name: (optional)	Telephone Number:
1b. Send Acknowledgment to: (Name and Address)	

THE ABOVE SPACE IS RESERVED FOR FILING OFFICE USE ONLY

2. File in (check one) ☐ Lien Index Only ☐ Lien and Notice Index

3. Purchaser's (Debtor) Name: Provide only **one** purchaser name (organization or individual for whom processing was done) (3a or 3b). For best search results, provide exact legal name.

	3a. Organization's Name			
OR	3b. Individual's Last Name	First Name	Middle Name	Suffix
3c. SSN/TIN *	3d. Mailing Address	City	State	Postal Code

4. Processor (Secured Party): Provide only **one** organization or individual (4a or 4b) and a complete mailing address.

	4a. Organization's Name			
OR	4b. Individual's Last Name	First Name	Middle Name	Suffix
	4d. Mailing Address	City	State	Postal Code

5. Statement of Lien Pursuant to N.D.C.C. Section 35-30-02:

5a. Price: Price agreed upon for the processing or if no price was agreed upon, the reasonable value of the processing: $

5b. First Date of Service Furnished:	5c. Date Processing Completed:
Month Day Year	Month Day Year

5d. SERVICE DESCRIPTION: Describe the processing services.

5e. Crops/Livestock/Products: Provide the crops, livestock, or agricultural products subject to the lien and their amounts, if known:

	Crops	Qty	Livestock	Qty	Products	Qty
1.						
2.						
3.						
4.						
5.						

5f. Crops/Livestock/Products Description: Provide a reasonable description, including the county or location where the crops, livestock, or agricultural products were grown and the year the crop was harvested or to be harvested:

Year harvested or to be harvested:

6. Signature:

Processor's (Secured Party) signature / Date

By / Title

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AGRICULTURAL PROCESSOR'S LIEN/NOTICE ASL-1 INSTRUCTIONS

FEES: All fees must be paid at the time of filing with the Secretary of State.

$15 … Filing both Lien index and Notice index	$20 … Non-standard Filing (other than prescribed form or 8 ½ x 11)
$15 … Filing only Lien index	$ 1 … Per additional attached page (8 ½ x 11)

FILING OFFICE: Submit the original document to any County Recorder or the Secretary of State.

REQUIREMENT: Before a processor's lien is filed, the agricultural processor (secured party) must provide a billing statement to the producer for the services performed and include notice that if the amount due is not satisfied, a lien may be filed. A copy of the proof of mailing of notification of the impending lien to the purchaser's (debtor's) last-known address by registered or certified mail with return receipt requested must be filed with this statement.

ACCURACY: Before filing, carefully review for accuracy. The statement must be filed as presented to the filing office. Any inaccuracies can only be corrected by filing an ASL-4 Amendment. No inaccuracies under **Statement of Lien,** (section 5) on this filing can be corrected by an amendment.

ADDENDUMS: If the space provided on the form is inadequate, attach an additional page.

ACKNOWLEDGMENT COPY: An acknowledgment will be sent to the filer at the address provided in section 1b.

The following instructions relate to the numbered sections of this form.

1. **CONTACT ADDRESS FOR ACKNOWLEDGMENT:**
   - **a.** To assist the filing office that may need to contact the filer, provide the name and phone number of the filer. (optional)
   - **b.** Provide the mailing address where the filing office will send the acknowledgment copy after filing.

2. Check only **one** box to indicate the index(es) to which you want your filing entered. **(If neither box is checked, the filing will be entered into the Lien Index only!).**

3. **PURCHASER (DEBTOR) NAME:** Provide only **one** purchaser (debtor) name in number 3; an organization's name **(3a),** or an individual's name **(3b).** For best search results, provide the debtor's exact legal name. Provide the Social Security Number (SSN) or Tax Identification Number (TIN) of the purchaser (debtor) **(3c).** Provide a complete mailing address of the purchaser (debtor) **(3d).**

   * Section 1324 of the Food Security Act of 1985 (*Pub. L. 99198, 99 Stat. 1535, 7 U.S.C. 1631)* requires the social security number of the debtor or, in the case of a debtor doing business other than as an individual, the Internal Revenue Service taxpayer identification number. Failure to provide the social security number or the taxpayer identification number will cause rejection of the filing. To enable farm product buyers a practical method for discovering the existence of a security interest of any farm product, this number is published from the Central Indexing Database to a CD as a search element of the data, but the number is not displayed on the data report, nor is the number reflected on copies of any statements filed in the Central Indexing System.

4. **PROCESSOR (SECURED PARTY):** Provide only **one** processor (secured party) name in section 4: an organization's name **(4a),** or an individual's name **(4b)** and a complete mailing address of the processor (secured party) **(4c).**

5. **STATEMENT OF LIEN:**
   - **a. PRICE:** Provide the price agreed upon for the processing, or if no price was agreed upon, the reasonable value of the processing.
   - **b. FIRST DATE SERVICES FURNISHED:** Provide the first date services were furnished.
   - **c. DATE PROCESSING COMPLETED:** Provide the date processing was completed.
   - **d. SERVICE DESCRIPTION:** Describe the processing services.
   - **e. CROPS/LIVESTOCK/PRODUCTS:** Provide the crops, livestock and/or agricultural products and their amounts (if known), upon which this lien is claimed.
   - **f. CROPS/LIVESTOCK/PRODUCTS DESCRIPTION:** Provide a reasonable description, including the county or location where the crops, livestock or agricultural products were grown and the year harvested or to be harvested.

6. **SIGNATURE:** The processor (secured party) must sign in number 6. If the processor (secured party) is an organization, complete the second line with the signor's title or status in the organization.